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CANCER TYPE REQUISITION FORM

THIS SECTION FOR PHENOPATH USE ONLY

CLINICAL SPECIMEN INFORMATION

Collection Date _____ Collection Time _____
 Specimen ID _____ Block #/Sublabel _____ Tissue Source(s) _____

 Paraffin blocks: Tissue block(s) _____ Cell block(s) _____
 Formalin Bouin's B5 Prefer Michel's (skin IF TM) Other
 Slides: Unstained _____ Stained _____

PATIENT INFORMATION

Name (Last, First, MI) _____
 SSN # _____ DOB _____ Male Female
 Medical Record # _____ Pt # _____

TREATING PHYSICIAN

Name _____ NPI # _____
 Mail/fax add'l copy of report to treating physician
Information REQUIRED; if not complete, report will NOT be faxed/mailed
 Phone _____ Fax _____
 Institution _____
 Address _____
 City, State Zip _____

REQUESTING INSTITUTION NAME & ADDRESS

Material and report will be returned to the address provided below:

Phone _____ FAX _____
 Ordering Pathologist/Physician _____
 Name _____ NPI # _____
 Medicare and other third party payors require that services be medically necessary for coverage and generally do not cover routine screening tests.

BILLING INFO (Complete and accurate information must be provided, including billing instructions, otherwise Client will be billed)

Inpatient Outpatient Non-Hospital Patient
BILL: Insurance* Medicare Medicaid (WA DSHS only)
 Requesting Institution† Patient
 PO# _____ Referral/Auth # _____
 * If 3rd party billing is requested, a copy of face sheet and front/back of patient's ins/Medicare card must be attached
 † If bill requesting institution has been selected, ENTIRE billing address MUST be included
 Institution _____
 Address _____ City, State Zip _____

ICD-10 # _____

CONTACT INFORMATION: Person completing form _____ Date _____ Phone _____

G = Global / TC = Tech Only

G / TC IMMUNOTHERAPY MARKERS

- N/A PD-L1 22C3 IHC, FDA (KEYTRUDA®)
- N/A PD-L1 28-8 IHC FDA (OPDIVO®)
- MMR IHC: MLH1 MSH2 MSH6 PMS2
- N/A Microsatellite instability (MSI) PCR

G / TC BREAST CARCINOMA

- Individual IHC ER PR HER2 Ki-67/MIB-1 p53
- N/A ER, if (-), run PR
- N/A HER2 IHC, if equivocal (equiv), run HER2 FISH
- N/A HER2 FISH
- N/A ER, PR, HER2 IHC, if HER2 equiv., run HER2 FISH
- N/A ER, PR, HER2 IHC, if HER2 equiv., run HER2 FISH, if HER2 FISH equiv, run Alt Chr 17 probes (SMS/RARA, TP53/CEP17 FISH)
- N/A HER2 FISH, if equivocal, run Alt Chr 17 probes
- N/A Alt Chr 17 probes (HER2, SMS/RARA, TP53/CEP17 FISH)
- Myoepithelial IHC markers to rule out invasion:
 SMMHC p63

BREAST CARCINOMA REQUIRED FIELDS

- 10% neutral buffered formalin: Yes No Unknown
 HER2/ER/PR fixation duration > 6 to < 72 hours
 Yes No Unknown

G / TC LUNG CARCINOMA

- N/A EGFR/T790M Roche cobas® v2 Mutation Analysis, FDA (EGFR/T790M)
- N/A EGFR/T790M PCR - & - PD-L1 22C3 IHC, FDA (KEYTRUDA®)
- N/A PD-L1 22C3 IHC, FDA (KEYTRUDA®)
- N/A PD-L1 28-8 IHC, FDA (OPDIVO®)
- N/A EGFR/T790M PCR, if (-), run ALK FISH
- N/A EGFR/T790M PCR, if (-), run ALK FISH, if (-), run ROS1 FISH
- N/A EGFR/T790M PCR, if (-), run ALK & ROS1 FISH
- N/A EGFR/T790M PCR, if (-), run ALK & ROS1 FISH, if ALK & ROS1 (-), run RET & MET FISH
- Individual molecular tests:
 N/A EGFR/T790M PCR ALK FISH ROS1 FISH
 RET FISH MET FISH BRAF V600 PCR
- Individual IHC tests, other:
 ALK IHC ROS1 IHC

MOLECULAR PROFILE: LUNG CARCINOMA

- Perform ALL tests below:
PCR: EGFR/T790M Roche cobas® v2 Mutation Analysis, FDA
IHC: PD-L1 22C3 IHC, FDA (KEYTRUDA®)
FISH: ALK, ROS1, RET, MET

G / TC MESOTHELIOMA

- BAP1 IHC
- N/A P16 (CDKN2A)/CC9 FISH

G / TC COLON CARCINOMA - & - LYNCH SYNDROME

- N/A KRAS IVD PCR (Exon 2)
- N/A KRAS IVD PCR (Exon 2), if (-), run:
Extended RAS (KRAS Exons 3, 4, and NRAS Exons 2, 3, 4)*
- Mismatch Repair / MMR IHC (MLH1, MSH2, MSH6, PMS2)
- N/A MMR IHC,
 If loss of MLH1, run BRAF V600 PCR
 If loss of MLH1/PMS2 (endometrial), run **MLH1 methylation***
- Individual IHC tests:
 MLH1 MSH2 MSH6 PMS2 PTEN
- Individual molecular tests:
 MSI PCR** KRAS IVD PCR (Exon 2) BRAF V600 PCR
****Specimen containing tumor AND normal tissue MUST be submitted**

G / TC GASTRIC (GI) NEOPLASMS

- N/A HER2 FISH
- HER2 IHC
- HER2 IHC, if equivocal, run HER2 FISH
- N/A **KIT mutation analysis by PCR***
- N/A **PDGFRa mutation analysis by PCR***

G / TC THYROID

- Individual IHC tests:
 PTH TTF-1 TSH Thyroglobulin
 Calcitonin Galectin-3 HBME-1 Keratin 19
- N/A BRAF V600 PCR

G / TC HEAD & NECK CARCINOMA, OTHER

- p16 IHC
- EBER ISH (EBV)

G / TC CNS NEOPLASMS

- N/A 1p19q deletion FISH
- Individual IHC tests:
 IDH1 ATRX INI-1 (SMARCB1)
 Neu-N Neurofilaments (2F11)
- N/A **MGMT methylation***

G / TC SARCOMA

- N/A EWSR1 (22q12) translocations FISH
- N/A FUS (16p11) translocations FISH
- N/A MDM-2/SE12 FISH
- N/A SS18-SYT-synovial sarcoma-X18 FISH

G / TC MELANOMA

- N/A BRAF IVD Cobas V600 PCR
- Individual IHC tests, other:
 HMB-45 S-100
 IMP3 MART-1/Melan A SOX-10
- N/A **KIT mutation analysis by PCR***
- N/A **NRAS mutation analysis by PCR***

G / TC AMYLOID TYPE ANALYSIS

- N/A Panel: IHC (Amyloid A, Amyloid P, Kappa & Lambda Light Chains, Transthyretin); Special Stain (Congo Red)
- Individual IHC / special stains:
 N/A Amyloid A Amyloid P
 Transthyretin Congo Red
 Kappa Lambda

G / TC MOLAR PREGNANCY

- N/A Molar panel: p57 and Ki-67 /MIB-1 IHC, CEP17-Hydatidiform Mole FISH
- N/A CEP17-Hydatidiform Mole FISH
- p57 IHC
- Ki-67/MIB-1

G / TC FLOATER / SEX TYPING

- N/A CEP-X/Y FISH
- Blood Group A Blood Group B

DIRECTED TESTS - OR - OTHER SPECIFIC REQUEST (describe):

* Sendout testing not performed by PhenoPath

NOTES: All tests may be ordered individually (use "directed tests" section if not listed); other tests or disease states also available; full consult available (use General/Consult req); visit our website or call 866-927-4366 for more information.

Send: REQS: Heme Molecular General Derm IHC Cancer Type PhenoBoxes Flow Media IF Media Date Needed By: _____

By submitting a specimen with this requisition form, you agree:

- 1) The information provided on this form and accompanying paperwork is complete and accurate.
- 2) If the information is not accurate, and PhenoPath cannot obtain reimbursement for services that have been requested and provided, Client agrees to accept financial responsibility.
- 3) If a service does not have an established Medicare allowable, PhenoPath will bill the Client.
- 4) Requests for testing PhenoPath does NOT perform (for current test menu, consult PhenoPath's website – www.phenopath.com or contact Client Services at 206.374.9000, or Toll-free at 888.92.PHENO (888.927.4366):
 - a) PhenoPath may forward specimens to an alternate facility for testing it does not perform, upon authorization by Client.
 - b) PhenoPath will manage return of applicable specimen to Client.
 - c) By signing the authorization form, Client agrees to pay for authorized services that are not paid for by a third party. PhenoPath can only bill for professional services provided by PhenoPath.

ICD-10 – All providers, laboratories, institutions, hospitals and other providers ordering laboratory testing to be performed by PhenoPath Laboratories must provide ICD-10-CM diagnosis codes for all testing submitted for dates of service October 1, 2015 and greater.

Links: <https://www.cms.gov/Medicare/Coding/ICD10/index.html?redirect=/ICD10> and <http://www.cdc.gov/nchs/icd/icd10cm.htm#icd2014>

Direct Bill Law – Washington is a “direct-bill” state for anatomic pathology services (RCW 48.43.081, <http://apps.leg.wa.gov/rcw/default.aspx?cite=48.43.081>). This means that PhenoPath can only send a bill to the entity who ordered the services (or to the patient or their insurance). We cannot bill a 3rd party.

MEDICARE COVERAGE DETERMINATIONS – PhenoPath is a Medicare participating provider, and is subject to the local coverage determinations (LCD) of the Medicare Administrative Contractor (MAC) for Jurisdiction F, Noridian Healthcare Solutions, Contractor No. 02402. Additional information can be obtained online at: <https://www.noridianmedicare.com/partb/coverage/active.html>.

SELECTED LOCAL COVERAGE DETERMINATIONS (LCD)

LCD Title	LCD ID No.	Original Effective Date
Cytogenetic Studies	L24295	12/01/2006
Flow Cytometry	L35208	06/16/2015
Genetic Testing	L24308	12/01/2006
Genetic Testing for BCR-ABL Negative Myeloproliferative Disease	L36186	04/19/2016
MolDx: Breast Cancer Genetic Assay	L35500	06/22/2015
Non-Covered Services	L24473	11/01/2007
Special Histochemical Stains and Immunohistochemical Stains	L36353	10/15/2015

MEDICARE MEDICAL NECESSITY REQUIREMENTS – When ordering laboratory tests that are billed to Medicare/Medicaid or other federally funded programs, the following requirements may apply:

- 1) Only tests that are medically necessary for the diagnosis or treatment of the patient should be ordered. Medicare does not pay for screening tests, except for certain specifically approved procedures, and may not pay for non-FDA-approved tests or tests considered experimental.
- 2) If there is reason to believe that Medicare will not pay for a test, the patient should be informed, and asked to sign an Advance Beneficiary Notice (ABN) to indicate whether he/she accepts responsibility for the cost of the test if Medicare denies payment.
- 3) Diagnosis information is requested from ordering physicians in order to support the medical necessity of each test ordered. ICD-10 codes are required on the test requisition for dates of service October 1, 2015 and greater (ICD-9 codes are required for dates of service prior to October 1, 2015). Narrative descriptions may be acceptable. PhenoPath will contact Client to obtain diagnosis information for reasons including, but not limited to the following:
 - A diagnosis code or narrative description is not provided.
 - The provided diagnosis narrative description appears inconsistent with the patient's demographic, the patient's medical condition, or the testing services being ordered.
 - The provided diagnosis or narrative description does not meet the coverage criteria as supporting medical necessity for testing services covered by a Medicare LCD.
- 4) Organ- or disease-oriented panels should be billed to Medicare only when every component of the panel is medically necessary. The OIG takes the position that a physician who orders medically unnecessary tests for which Medicare reimbursement is claimed may be subject to civil penalties. PhenoPath- and client-customized panels should be billed to Medicare only when every component of the customized panel is medically necessary. PhenoPath offers groups of tests based on accepted clinical practice.

Advanced Beneficiary Notice (“ABN”) – An ABN, Form CMS-R-131, is a standardized notice you must issue to a Medicare beneficiary before providing certain Medicare Part B (outpatient) or Part A (limited to hospice, home health agencies [HHAs], and Religious Nonmedical Healthcare Institutions only) items or services. You must issue the ABN when:

- You believe Medicare may not pay for an item or service;
- Medicare usually covers the item or service; and
- Medicare may not consider the item or service medically reasonable and necessary for this patient in this particular instance. You should only provide ABNs to beneficiaries enrolled in original (fee-for-service) Medicare. ABNs allow beneficiaries to make informed decisions about whether to get services and accept financial responsibility for those services if Medicare does not pay. The ABN serves as proof the beneficiary knew prior to getting the service that Medicare might not pay. If you do not issue a valid ABN to the beneficiary when Medicare requires it, you cannot bill the beneficiary for the service, and you may be financially liable if Medicare doesn't pay. You may also use the ABN as an optional (voluntary) notice to alert beneficiaries of their financial liability prior to providing care that Medicare never covers. ABN issuance is not required to bill a beneficiary for an item or service that is not a Medicare benefit and never covered.
- If you order a test that does not meet Medicare's medical necessity guidelines, it is important that you complete an ABN and have it signed by the patient at the time of service. This will allow you and PhenoPath to bill the patient for the services provided if Medicare does not reimburse us for the test(s) and if the patient has accepted the financial responsibility. Medicare defines medical necessity as services that are: reasonable and necessary, for the diagnosis or treatment of an illness or injury or to improve the functioning of a malformed body member, and not excluded under another provision of the Medicare Program. All services reported to the Medicare Program by health care professionals must demonstrate medical necessity through the use of International Classification of Diseases, 10th Revision, Clinical Modification (ICD-10-CM) diagnostic coding carried to the highest level of specificity for the date of service.
- If the testing does not meet Medicare medical necessity guidelines, the patient does not sign an ABN, and Medicare fails to reimburse for the test(s) ordered, PhenoPath may bill the referring lab/physician for the services provided.

PhenoPath's billing practices have been developed to ensure compliance with federally mandated rules. Direct questions about invoices to our Medical Billing department at 1-866-927-4366 or 206-374-1480. Fax inquiries to 206-774-3412. The department is generally staffed Monday to Friday from 6 am to 4:30 pm Pacific time.